



IAP15 Rec'd PCT/PTO 08 JUN 2006

PTO/SB/21 (08-03)

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/552,896
	Filing Date	October 11, 2005
	Int'l. Application No.	PCT/US2004/11494; Filed: April 9, 2004
	First Named Inventor	DeFrees, Shawn
	Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number 40853-01-5051-US01

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Return postcard</li><li>• Response to Notice to File Missing Parts – Sequence Listing</li><li>• Statement to Support Filing and Submission of Sequence Listing</li><li>• Diskette containing CRF of Sequence Listing</li><li>• Paper copy of Sequence Listing</li><li>• Copy of Notice</li></ul>
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.

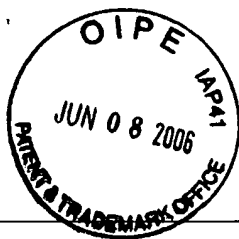
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Morgan, Lewis & Bockius LLP Ada O. Wong on behalf of Jeffrey S. Mann (Reg. No. 42,837) Reg. No. 55,740
Signature	
Date	June 5, 2006

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Kathryn A. Degliantoni		
Signature		Date	June 5, 2006

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**PATENT**  
Attorney Docket No.: 40853-01-5051-US01  
Client Ref. No.: NEO00223US/371

MAIL STOP: SEQUENCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



On June 5, 2006

MORGAN, LEWIS and BOCKIUS LLP

By: Kathy Delmont

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Shawn DeFrees, *et al.*

Application No.: 10/552,896

Filed: October 11, 2005

Int'l. Application No.: PCT/US2004/11494

Filed April 9, 2004

For: GLYCOPEGYLATION METHODS  
AND PROTEINS/PEPTIDES PRODUCED  
BY THE METHODS

Customer No.: 43850

Confirmation No.: 6960

Examiner: Not Yet Assigned

Technology Center/Art Unit: Not Yet  
Assigned

RESPONSE TO NOTICE TO FILE  
MISSING PARTS - SEQUENCE  
LISTING

BOX SEQUENCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the Notice to File Missing Parts of Application dated April 4, 2006, enclosed are the following documents to be made of record for the above-identified application:

1. a Sequence Listing on paper under 37 C.F.R. § 1.821 (c);
2. a computer readable form of Sequence Listing on diskette under 37 C.F.R. § 1.821 (e);

Appl. No. 10/552,896  
Response dated June 5, 2006  
Reply to Notice to File Missing Parts dated  
April 4, 2006

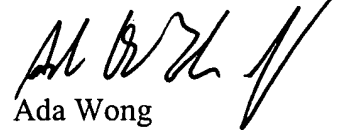
PATENT

3. a Statement to Support Filing and Submission; and
- 4) a copy of the Notice of Missing Parts.

A Fee Transmittal authorizing the PTO to charge the fee for filing a late oath or declaration has been addressed to "Box Missing Parts" and is being mailed to the PTO today with an executed Declaration (simultaneously with this response).

The Commissioner is authorized to charge any additional fees to Deposit Account 50-0310.

Respectfully submitted,



Ada Wong  
Reg. No. 55,740

for Jeffry S. Mann  
Reg. No. 42,837

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06/13/2006 MKAYPAGH 00000138 500310 10552896

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1430  
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www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/552,896 /	FIRST NAMED APPLICANT Shawn De Frees /	ATTY. DOCKET NO. 40853-5051-US01 /
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**DOCKETED**

43850  
MORGAN, LEWIS & BOCKIUS LLP (SF)  
2 PALO ALTO SQUARE  
3000 El Camino Real, Suite 700  
PALO ALTO, CA 94306

RECEIVED DOCKETING

APR 11 2006

MORGAN LEWIS  
PALO ALTO OFFICE

INTERNATIONAL APPLICATION NO.

PCT/US04/11494

I.A. FILING DATE

04/09/2004

PRIORITY DATE

04/09/2003

CONFIRMATION NO. 6960

371 FORMALITIES LETTER



\*OC000000018448345\*

Missing Parts Due: 4 JUN 06  
Missing Parts Final: 4 NOV 06

Date Mailed: 04/04/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/11/2005
- Copy of the International Search Report filed on 10/11/2005
- Information Disclosure Statements filed on 03/09/2006
- Biochemical Sequence Listing filed on 10/11/2005
- U.S. Basic National Fees filed on 10/11/2005
- Priority Documents filed on 10/11/2005
- Specification filed on 10/11/2005
- Claims filed on 10/11/2005
- Abstracts filed on 10/11/2005
- Drawings filed on 10/11/2005
- Paper nucleotide sequence listings filed on 10/11/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$65** for a Small Entity:

- **\$65 Surcharge.**
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

**For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:**

- **For Rules Interpretation, call (571) 272-0951**
- **For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.**
- **Send e-mail correspondence for Patentin Software Program Help @ [ebc@uspto.gov](mailto:ebc@uspto.gov)**

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/552,896	PCT/US04/11494	40853-5051-US01

FORM PCT/DO/EO/905 (371 Formalities Notice)